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**REQUEST FOR RECONSIDERATION OF CLASSIFICATION  
BY THE OFFICE OF THE DIRECTOR OF GROUP 2100**

Application No: 09/761,748

Preliminary Class: 380

Group Art Unit: 2131

Title: Object identification method using printed binary code and computer registry

1. Applicant respectfully requests that the preliminary classification of the above identified nonprovisonal application for United States Utility Patent be reconsidered.
2. Applicant respectfully submits in support of this request that:
  - a. the present classification is in Class 380: Cryptography;
  - b. the invention claimed is not cryptography;
  - c. the invention claimed is a business method which utilizes a unique printed code upon each of a plurality of objects and a computer registry holding these unique codes;
  - d. business methods are classified in Class 705: Data Processing: Financial Business Practice, Management or Cost/Price Determination.
3. Applicant respectfully submits, in support of the above submission, that Primary Examiner Lee Hua most kindly agreed to consider the verbally presented proposition formally made herein on 14 March 2001 at the request of Peter Gibson, Authorized Agent of Record, in his office, Floor 2 Corridor A Room Number 37 Crystal Park 2, and agreed, on the basis of a careful reading of a copy of the Abstract of the Disclosure in lieu of the Claims, because the Abstract was available and neither the Claims nor the Summary of the Invention were available, that although any algorithm, none specified, is used to generate a plurality of unique numbers used in identifying objects each having one such unique number printed thereupon the invention was certainly not cryptography and that the invention was clearly further a business method and, most specifically, that the invention was best encompassed by Class 705; Subclass 28: Inventory Management.

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4. Applicant respectfully submits that a verbal request for reclassification was made of the acting Supervisory Patent Examiner for Art Unit 2131, Gilberto Barron, on 14 March 2001 by Peter Gibson, Authorized Agent of Record, immediately outside his office, Floor 5 Corridor R Room Number 01 Crystal Park 2, and was refused upon the grounds that reclassification was not possible.
5. Applicant respectfully submits that the reclassification of an application prior to assignment to an examiner for examination by a previous Supervisory Patent Examiner for this very same Art Unit had been effected upon verbal request of the same by Peter Gibson who further identified this previous SPE for this art unit as Robert Beausoleil and verbally queried Gilberto Barron for his name, its spelling, and whether a formal written request to his office for reclassification of this application was appropriate which query was answered in the affirmative.
6. Applicant respectfully submits that Claim 1 is the only independent claim in the present application and is therefor the appropriate basis for determination of proper classification and that a full copy of Claim 1 may be found in appendix to this request.
7. Applicant respectfully notes that in accordance with MPEP 903.08 transfers between art units within the same group are to be normally handled by the pertinent Supervisory Patent Examiners for the two art units concerned but also that "primary examiners have full authority to accept any application submitted to them that they believe is properly classifiable in a class in their art unit" and "that authority to accept or refuse the transfer of an application may be delegated when such authority is deserved" and "(w)hen a new application is received which, in the opinion of the primary examiner, does not belong to his or her group, he or she may request transfer of it to another group".

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8. Applicant respectfully submits that in addition to the reclassification effected by then Art Unit 2131 SPE Robert Beausoleil other applications filed by the present Agent of Record have been misclassified including Application Number 09/543,764, filed 5 April 2000, initially assigned to Group Art Unit 1762 subsequently transferred to GAU 1761 and thence to GAU 1709 which identifies the Special Program Examiner, Carmen Gray, charged with review of the Petition To Make Special filed with the application where it was found after several telephone calls made by Peter Gibson in August 2000 and which was finally transferred to GAU 2761, now GAU 2161 on 5 September 2000 at the telephonic request made by Peter Gibson of the supervisor for Carmen Gray.

9. Applicant respectfully submits, based upon the experience related above, that verbal requests by the Agent of Record made personally or telephonically for transfer of an application consequent reclassification is proper and further respectfully submits, based upon the experience related immediately above, that considerable time can readily be lost in properly assigning an application to the correct GAU for examination through the fault of the Office in erroneous preliminary classification and failure of pertinent Office employees to perform assigned duties which time, moreover, is lost to the maximum term available to any Patent resulting from an application for which examination has been so delayed.

10. Applicant respectfully submits that the present Application for Patent has been filed with a Petition to Make Special Under MPEP 708.02 VIII and that advancement in order of examination as well as expediting of all other handling of said application inclusive of proper assignment for examination is to be expersised.

continued

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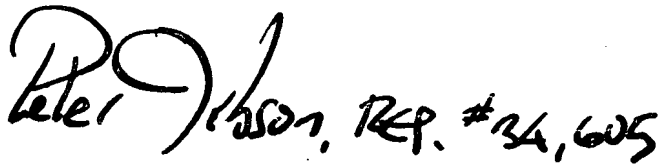
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11. Applicant respectfully submits, for all the reasons set forth above, that the present Application for Patent has been erroneously assigned to GAU 2131 for examination on the basis of an erroneous preliminary classification in Class 380, that said Application properly belongs in GAU 2161 for examination based upon a correct classification in Class 705, and that it is properly the duty of the SPE of GAU 2131 to reconsider classification of this Application and effect a transfer of the same to the appropriate GAU for examination.

Respectfully yours,

A handwritten signature in black ink that reads "Peter Gibson, Reg. #34,605". The signature is written in a cursive, flowing style.

Peter Gibson, Reg. #34,605

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## **REQUEST FOR RECONSIDERATION OF CLASSIFICATION**

### **APPENDIX - CLAIM 1**

1. An object authentication method comprising the following steps:
  - (a) printing upon each of a plurality of objects each possessing a recognized creator an origin code component which is unique to said recognized creator of said plurality of objects;
  - (b) printing upon each of said plurality of objects an authentication code component derived algorithmically from a base related to said origin code component each of which is unique with respect to every other said authentication code derived from said base related to said origin code component;
  - (c) recording said origin code component in a computer memory registry together with related information pertaining to the recognized creator including the identity of the same;
  - (d) recording in said registry each said authentication code component printed upon each of said plurality of objects for, and in a manner related to, said origin code component;
  - (e) recording in said registry, in a manner related to each said authentication code component, an ownership code component including an ownership status which has at least two conditions each indicative of a type of ownership including that by the recognized creator of said plurality of objects initially and which condition is variable by authorized access to said registry to reflect transfer of ownership;

whereby each said authentication code component may be verified as being a valid, algorithmically derived, authentication code component printed upon one of said plurality of objects possessing a single recognized creator, and the ownership status indicating the type of ownership recognized for each said authentication code component may be ascertained, both with reference to said origin and authentication code components in said registry.